

Sinc Approximation with a Gaussian Multiplier

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Abstract

Recently, it was shown with the help of Fourier analysis that by incorporating a Gaussian multiplier into the truncated classical sampling series, one can approximate bandlimited signals of finite energy with an error that decays exponentially as a function of the number of involved samples. Based on complex analysis, we show for a slightly modified operator that this approximation method applies not only to bandlimited signals of finite energy, but also to bandlimited signals of infinite energy, to classes of non-bandlimited signals, to *all* entire functions of exponential type (including those whose samples increase exponentially), and to functions analytic in a strip and not necessarily bounded. Moreover, the method extends to non-real argument. In each of these cases, the use of $2N + 1$ samples results in an error bound of the form $Me^{-\alpha N}$, where M and α are positive numbers that do not depend on N . The power of the method is illustrated by several examples.

Key words and phrases : sinc approximation, sampling series, Gaussian convergence factor, error bounds, entire functions of exponential type, functions analytic in a strip

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1 Introduction

Throughout this paper, we shall use the following notation. For $\sigma \geq 0$ we denote by \mathcal{E}_σ the set of all function f which are *entire*, that is, analytic in the whole

complex plane, and satisfy

$$\limsup_{r \rightarrow \infty} \frac{\log \max_{|z|=r} |f(z)|}{r} \leq \sigma. \quad (1)$$

We call \mathcal{E}_σ the class of entire functions of exponential type σ .

When we have a function $f : \mathbb{C} \rightarrow \mathbb{C}$ and write $f \in L^p(\mathbb{R})$, we actually mean that the restriction of f to \mathbb{R} belongs to $L^p(\mathbb{R})$. The norm in $L^p(\mathbb{R})$ will be denoted by $\|\cdot\|_p$ for $p \in [1, \infty]$. The spaces $\mathcal{B}_\sigma^p := \mathcal{E}_\sigma \cap L^p(\mathbb{R})$ are called Bernstein spaces; see [5, § 6.1]. We have

$$\mathcal{B}_\sigma^1 \subset \mathcal{B}_\sigma^p \subset \mathcal{B}_\sigma^r \subset \mathcal{B}_\sigma^\infty \quad (1 \leq p \leq r \leq \infty).$$

It is well known that a function f is a signal of finite energy bandlimited to $[-\sigma, \sigma]$ if and only if f is the restriction to \mathbb{R} of a function from \mathcal{B}_σ^2 .

Introducing the sinc function by

$$\text{sinc } z := \begin{cases} \frac{\sin \pi z}{\pi z} & \text{if } z \in \mathbb{C} \setminus \{0\}, \\ 1 & \text{if } z = 0, \end{cases}$$

we may state the classical sampling theorem of Whittaker–Kotel’nikov–Shannon (see [7, p. 49]) as follows.

Theorem A *Let $f \in \mathcal{B}_\sigma^2$, where $\sigma > 0$. Then, for $h \in (0, \pi/\sigma]$, we have*

$$f(z) = \sum_{n=-\infty}^{\infty} f(hn) \text{sinc}(h^{-1}z - n) \quad (z \in \mathbb{C}). \quad (2)$$

The series converges absolutely and uniformly on every strip $|\Im z| \leq K$ for any $K > 0$.

In practice, the use of (2) is limited since the series converges slowly unless $|f(x)|$ decays rapidly as $x \rightarrow \pm\infty$. However, if we choose h strictly less than π/σ , which is the case of *oversampling*, we can easily incorporate a convergence factor into the series in (2). In fact, for $0 < \varepsilon < \pi - h\sigma$, let $\Phi \in \mathcal{E}_\varepsilon$ such that $\Phi(0) = 1$. Suppose that $|\Phi(z)|$ decays rapidly on lines parallel to the real axis, at least as fast as $O(|\Re z|^{-1})$, say. Then, for any fixed $\zeta \in \mathbb{C}$, the function

$$z \mapsto f(z) \Phi(h^{-1}(\zeta - z)) \quad (3)$$

belongs to $\mathcal{B}_{\sigma+\varepsilon/h}^2$. Applying Theorem A, we obtain

$$f(z) \Phi(h^{-1}(\zeta - z)) = \sum_{n=-\infty}^{\infty} f(hn) \Phi(h^{-1}\zeta - n) \text{sinc}(h^{-1}z - n). \quad (4)$$

Finally, substituting $\zeta = z$, we arrive at

$$f(z) = \sum_{n=-\infty}^{\infty} f(hn) \Phi(h^{-1}z - n) \operatorname{sinc}(h^{-1}z - n). \quad (5)$$

The speed of convergence of the series in (5) is determined by the decay of $|\Phi|$. However, the decay of an entire function of exponential type is limited. It is known (see [1], [6, p. 81]) that if

$$\Phi(x) = O\left(e^{-w(|x|)}\right) \quad (x \rightarrow \pm\infty),$$

where w is a non-negative function satisfying some mild regularity conditions on the positive real line, then we must have

$$\int_1^{\infty} \frac{w(x)}{x^2} dx < \infty.$$

This shows that an entire function of exponential type cannot decay as fast as $e^{-c|x|}$ for some positive c . As a consequence, by taking N terms of the series in (5), we cannot have a truncation error that is bounded by

$$Me^{-\alpha N} \quad (N \in \mathbb{N}) \quad (6)$$

for some positive constants M and α .

Now, let

$$G(z) := \exp(-z^2), \quad (7)$$

which may be called a *Gaussian function* since, apart from a normalization, it describes the Gaussian probability distribution. It is not difficult to show that if in (5) we used an appropriately scaled form of G instead of Φ , we could obtain a truncation error that has a bound of the form (6). Unfortunately, G is not of exponential type and so (5) will no longer be valid.

Nevertheless, as was mentioned to one of us by Liwen Qian, Chinese chemists have used a Gaussian multiplier in the sampling series, without caring for a mathematical justification, and they obtained numerical results of high accuracy.

A rigorous mathematical investigation of the effect of a Gaussian multiplier was given by Qian, Qian and Creamer, and Qian and Ogawa in a series of papers where the authors considered the classical sampling theorem and various variants (see [8], [9], [10], [11], [12]). We can say that if Φ is replaced by the Gaussian function, then (5) holds with an error term which occurs as an aliasing error in the above derivation while the cited authors called it a *localization error*. It turns out that, by scaling G appropriately, this additional error can be made at least as small as (6). Hence, the total error has also a bound of the form (6).

For a precise statement, we denote by $\lfloor x \rfloor$, where $x \in \mathbb{R}$, the largest integer not exceeding x . Then a result in [9, Theorem 2.2 for $b = 1, s = 0$] may be formulated as follows.

Theorem B *Let $f \in \mathcal{B}_\sigma^2$, where $\sigma > 0$. For $h \in (0, \pi/\sigma)$, $\alpha := (\pi - h\sigma)/2$, $N \in \mathbb{N} \setminus \{1, 2\}$, $x \in \mathbb{R}$, and*

$$Z_N(x) := \{n \in \mathbb{Z} : |[x] - n| \leq N\},$$

define

$$\mathcal{G}_{h,N}[f](x) := \sum_{n \in Z_N(x/h)} f(hn) \operatorname{sinc}\left(\frac{x}{h} - n\right) \exp\left(-\frac{\alpha}{N-2} \left(\frac{x}{h} - n\right)^2\right). \quad (8)$$

Then

$$|f(x) - \mathcal{G}_{h,N}[f](x)| \leq \frac{2e^{-\alpha(N-2)}}{\sqrt{\pi\alpha(N-2)}} \sqrt{\frac{\sigma}{\pi}} \|f\|_2 \left[1 + \frac{e^{3\alpha/(N-2)}}{2\sqrt{\pi\alpha(N-2)}}\right]. \quad (9)$$

The proofs in the cited papers by Qian and his co-authors are always based on real Fourier analysis. Therefore, the condition that f belongs to $L^2(\mathbb{R})$ cannot be considerably relaxed. Moreover, the proofs do not extend to non-real argument of f .

In this paper, we show that sinc approximation with a Gaussian convergence factor can be conveniently studied by using the method of contour integration. This approach has the following advantages:

- it is simpler;
- it gives slightly better error bounds;
- it extends to non-real argument;
- it extends to functions that do not belong to $L^2(\mathbb{R})$; even unbounded functions can be considered;
- it admits functions that are not restrictions of entire functions;
- it extends to certain classes of non-bandlimited signals;
- it is very flexible and allows various modifications.

Our investigation was started in July 2000 when the second named author spent a few days in Erlangen but it was delayed afterwards and gained a new impulse through the work of Qian Liwen et al.

2 Entire Functions

In the following theorem the hypothesis on f is much weaker than in Theorem B and the conclusion extends to non-real argument. Note that the operator (11) used for approximating f has been slightly modified as compared with (8). The truncation has been made symmetric. This avoids that after fixing N , the error bound is obtained in terms of $N - 2$.

Theorem 2.1 *Let f be an entire function such that*

$$|f(\xi + i\eta)| \leq \phi(|\xi|) e^{\sigma|\eta|} \quad (\xi, \eta \in \mathbb{R}), \tag{10}$$

where ϕ is a non-decreasing, non-negative function on $[0, \infty)$ and $\sigma \geq 0$. For $h \in (0, \pi/\sigma)$, $\alpha := (\pi - h\sigma)/2$, $N \in \mathbb{N}$, $z \in \mathbb{C}$, $|\Im z| < N$ and $N_z := \lfloor \Re z + \frac{1}{2} \rfloor$, define

$$\mathcal{C}_{h,N}[f](z) := \sum_{n=N_z/h-N}^{N_z/h+N} f(hn) \operatorname{sinc}\left(\frac{z}{h} - n\right) \exp\left(-\frac{\alpha}{N}\left(\frac{z}{h} - n\right)^2\right). \tag{11}$$

Then

$$|f(z) - \mathcal{C}_{h,N}[f](z)| \leq |\sin(h^{-1}\pi z)| \frac{2e^{-\alpha N}}{\sqrt{\pi\alpha N}} \phi(|\Re z| + h(N+1)) \beta_N(h^{-1}\Im z), \tag{12}$$

where

$$\begin{aligned} \beta_N(t) &:= \cosh(2\alpha t) + \frac{2e^{\alpha t^2/N}}{\sqrt{\pi\alpha N}[1 - (t/N)^2]} + \frac{1}{2} \left[\frac{e^{2\alpha t}}{e^{2\pi(N-t)} - 1} + \frac{e^{-2\alpha t}}{e^{2\pi(N+t)} - 1} \right] \\ &= \cosh(2\alpha t) + O(N^{-1/2}) \quad (N \rightarrow \infty). \end{aligned}$$

Proof Let $z = x + iy$, where $x, y \in \mathbb{R}$. We may assume that $\sigma < \pi$ and $h = 1$. The more general result can be deduced from that special case by considering the function $\zeta \mapsto f(h\zeta)$. We may also assume that $y \geq 0$. The more general result can be deduced from that special case by considering the function $\zeta \mapsto \overline{f(\overline{\zeta})}$.

After these specializations, we introduce $N' := N + \frac{1}{2}$ and denote by \mathcal{R} the positively oriented rectangle with vertices at $\pm N' + N_z + i(y \pm N)$. Now, setting $\omega := \alpha/N$, we consider the kernel function

$$K(z, \zeta) := \frac{\sin \pi z}{2\pi i} \cdot \frac{f(\zeta) G(\sqrt{\omega}(z - \zeta))}{(\zeta - z) \sin \pi \zeta}. \tag{13}$$

When z is not an integer, then, as a function of ζ , this kernel has simple poles at z and at the integers, and has no other singularities. By the residue theorem,

we readily find that

$$\begin{aligned} E := f(z) - \mathcal{C}_{1,N}[f](z) &= \int_{\mathcal{R}} K(z, \zeta) d\zeta \\ &= \frac{\sin \pi z}{2\pi i} \int_{\mathcal{R}} \frac{f(\zeta) G(\sqrt{\omega}(z - \zeta))}{(\zeta - z) \sin \pi \zeta} d\zeta. \end{aligned}$$

Now, denote by I_{hor}^{\pm} the contributions to the last integral coming from the two horizontal parts of \mathcal{R} , where + and - refer to the upper and the lower line segment, respectively. Similarly, denote by I_{vert}^{\pm} the contributions coming from the two vertical parts of \mathcal{R} , where + and - refer to the right and the left line segment, respectively. Then

$$E = \frac{\sin \pi z}{2\pi i} (I_{\text{hor}}^{-} + I_{\text{vert}}^{+} + I_{\text{hor}}^{+} + I_{\text{vert}}^{-}), \quad (14)$$

where

$$I_{\text{hor}}^{\pm} = \mp \int_{-N'+N_z}^{N'+N_z} \frac{f(t + i(y \pm N)) G(\sqrt{\omega}(x - t \mp iN))}{(t - x \pm iN) \sin \pi(t + i(y \pm N))} dt$$

and

$$I_{\text{vert}}^{\pm} = \pm i \int_{-N+y}^{N+y} \frac{f(\pm N' + N_z + it) G(\sqrt{\omega}(z \mp N' - N_z - it))}{(\pm N' + N_z + it - z) \sin \pi(\pm N' + N_z + it)} dt.$$

In order to estimate these integrals, we use the following inequalities holding for $\xi, \eta, t \in \mathbb{R}$:

$$|G(\xi + i\eta)| \leq e^{-\xi^2} \cdot e^{\eta^2}, \quad (15)$$

$$|\sin(\xi + i\eta)| \geq |\sinh \eta| = \frac{e^{|\eta|}}{2} (1 - e^{-2|\eta|}), \quad (16)$$

$$|\sin \pi(\pm N' + N_z + it)| = \cosh \pi t \geq \frac{e^{\pi|t|}}{2}, \quad (17)$$

and

$$|\pm N' + N_z + it - z| \geq N. \quad (18)$$

Furthermore, for any point $\xi + i\eta \in \mathcal{R}$, we have

$$|f(\xi + i\eta)| \leq \phi(N' + |N_z|) e^{\sigma|\eta|} \leq \phi(|x| + N + 1) e^{\sigma|\eta|}.$$

With these estimates, we find that

$$\begin{aligned} |I_{\text{hor}}^{\pm}| &\leq \frac{2\phi(|x| + N + 1) e^{\sigma|y \pm N|} e^{-\pi|y \pm N|} e^{\omega N^2}}{N(1 - e^{-2\pi|y \pm N|})} \int_{-N'+N_z}^{N'+N_z} e^{-\omega(x-t)^2} dt \\ &\leq \frac{2\phi(|x| + N + 1) e^{-\alpha N} e^{\mp 2\alpha y}}{N(1 - e^{-2\pi|y \pm N|})} \int_{-\infty}^{\infty} e^{-\omega s^2} ds \\ &= 2\pi\phi(|x| + N + 1) \frac{e^{-\alpha N}}{\sqrt{\pi\alpha N}} \cdot \frac{e^{\mp 2\alpha y}}{1 - e^{-2\pi|y \pm N|}}, \end{aligned}$$

and so

$$\begin{aligned}
 |I_{\text{hor}}^+| + |I_{\text{hor}}^-| &\leq 2\pi\phi(|x| + N + 1) \frac{e^{-\alpha N}}{\sqrt{\pi\alpha N}} \\
 &\times \left[2 \cosh(2\alpha y) + \frac{e^{2\alpha y}}{e^{2\pi(N-y)} - 1} + \frac{e^{-2\alpha y}}{e^{2\pi(N+y)} - 1} \right].
 \end{aligned} \tag{19}$$

For the contributions coming from the vertical parts of \mathcal{R} , we have

$$\begin{aligned}
 |I_{\text{vert}}^\pm| &\leq 2\phi(|x| + N + 1) \frac{e^{-\omega N^2}}{N} \int_{-N+y}^{N+y} e^{-(\pi-\sigma)|t|+\omega(y-t)^2} dt \\
 &= 2\phi(|x| + N + 1) \frac{e^{-\alpha N}}{N} \int_{-N}^N e^{-2\alpha|s+y|+\omega s^2} ds.
 \end{aligned}$$

In order to estimate the last integral, we determine a piecewise linear majorant for the exponent of e. Using a convexity property of parabolas, we find that

$$-2\alpha|s+y| + \omega s^2 \leq \begin{cases} \alpha[y + (1 - y/N)s] & \text{if } s \in [-N, -y], \\ -\alpha[y + (1 + y/N)s] & \text{if } s \in [-y, N]. \end{cases}$$

With these majorants we obtain

$$\int_{-N}^{-y} e^{-2\alpha|s+y|+\omega s^2} ds < \frac{e^{\alpha y^2/N}}{\alpha(1 - y/N)}$$

and

$$\int_{-y}^N e^{-2\alpha|s+y|+\omega s^2} ds < \frac{e^{\alpha y^2/N}}{\alpha(1 + y/N)}.$$

Hence,

$$|I_{\text{vert}}^\pm| < 4\phi(|x| + N + 1) \frac{e^{-\alpha N}}{\alpha N} \cdot \frac{e^{\alpha y^2/N}}{1 - (y/N)^2}. \tag{20}$$

Finally, combining (14), (19), and (20), we arrive at the desired error bound in the case where $h = 1$. □

Note that in (11) the summation depends on the real part of z . Globally, $\mathcal{C}_{h,N}[f]$ provides a piecewise analytic approximation. On each of the strips

$$\left\{ z \in \mathbb{C} : \left(k - \frac{1}{2}\right)h \leq \Re z < \left(k + \frac{1}{2}\right)h \right\} \quad (k \in \mathbb{Z}),$$

$\mathcal{C}_{h,N}[f]$ is the restriction of an entire function of order two.

In Theorem 2.1 the function f is not required to be of exponential type. Since ϕ can be any non-decreasing, non-negative function, f may grow arbitrarily fast

on parallels to the real line. However, when f is not of exponential type, then it is not guaranteed that the error bound will approach zero as $N \rightarrow \infty$.

We now turn to entire functions of exponential type. The directional growth of such a function is described by the indicator; see [2, Ch. 5]. From the properties of the indicator, it follows that every entire function f of exponential type can be estimated as

$$|f(\xi + i\eta)| \leq M e^{\kappa|\xi| + \sigma|\eta|} \quad (\xi, \eta \in \mathbb{R}), \quad (21)$$

where M , κ , and σ are non-negative numbers. Conversely, if an entire function f satisfies (21), then it is of exponential type $(\kappa^2 + \sigma^2)^{1/2}$.

For $\alpha > 0$ we shall say that an error bound converges to zero *exponentially of order α* as $N \rightarrow \infty$ if it has the form (6). In the following corollary it is remarkable that the error bound converges exponentially to zero while f may be *any* entire function of exponential type including those that *increase* exponentially on the real line. We do not know of *any other result* in sinc approximation where the samples are allowed to grow exponentially.

In this connection, it may be of interest to recall a conjecture [4, p. 620, Problem 16(II)] which states that for $\tau \in (0, \pi)$ it is not possible to have a formula

$$f(z) = \sum_{n=-\infty}^{\infty} f(n) \Lambda_{\tau, n}(z) \quad (z \in \mathbb{C})$$

that holds for all $f \in \mathcal{E}_\tau$.

Corollary 2.2 *Let f be an entire function satisfying (21) with non-negative numbers M , κ , and σ , and let $h \in (0, \pi/(\sigma + 2\kappa))$. Then, in the notation of Theorem 2.1,*

$$|f(z) - C_{h, N}[f](z)| \leq |\sin(h^{-1}\pi z)| \frac{2e^{-(\alpha - h\kappa)N}}{\sqrt{\pi\alpha N}} M e^{\kappa(|\Re z| + h)} \beta_N(h^{-1}\Im z).$$

Proof Setting $\phi(x) = M e^{\kappa x}$, we obtain the result as an immediate consequence of Theorem 2.1. \square

It is not surprising that exponential growth of f on the real line, as it is admissible when κ in (21) is positive, affects the order of exponential convergence of the error bound. However, polynomial growth on the real line does *not* affect the order of exponential convergence.

Corollary 2.3 *Let f be an entire function of exponential type σ satisfying*

$$|f(\xi)| \leq M (1 + \xi^2)^{k/2} \quad (\xi \in \mathbb{R}), \quad (22)$$

where $M \geq 0$ and $k \in \mathbb{N}_0$, and let $h \in (0, \pi/\sigma) \cap (0, 1]$. Then, in the notation of Theorem 2.1,

$$|f(z) - \mathcal{C}_{h,N}[f](z)| \leq |\sin(h^{-1}\pi z)| \frac{2N^{k-1/2}e^{-\alpha N}}{\sqrt{\pi\alpha}} MB_{k,N}(h^{-1}z)\beta_N(h^{-1}\Im z),$$

where

$$B_{k,N}(\zeta) := \left[\left(1 + \frac{1 + |\Re \zeta|}{N}\right)^2 + \left(1 + \frac{1 + |\Im \zeta|}{N}\right)^2 \right]^{k/2}.$$

Proof First, suppose that $\sigma < \pi$ and $h = 1$. Consider

$$g(z) := \frac{f(z)}{(z+i)^k}. \tag{23}$$

Obviously, g is analytic and of exponential type σ in the closed upper half-plane. Moreover, $|g(\xi)| \leq M$ for $\xi \in \mathbb{R}$. Hence, by [2, Theorem 6.2.4], we have

$$|g(\xi + i\eta)| \leq Me^{\sigma\eta} \quad (\xi, \eta \in \mathbb{R}, \eta \geq 0),$$

and so

$$|f(\xi + i\eta)| \leq M [\xi^2 + (|\eta| + 1)^2]^{k/2} e^{\sigma|\eta|} \tag{24}$$

for $\xi \in \mathbb{R}$ and $\eta \geq 0$. A similar consideration for the lower half-plane with i replaced by $-i$ in (23) shows that (24) holds for all $\xi, \eta \in \mathbb{R}$.

Next we note that in the proof of Theorem 2.1 the estimate (10) is needed for $|\eta| \leq N + |y|$ only. Under this restriction we see from (24) that (10) holds with

$$\phi(|\xi|) = M [\xi^2 + (|y| + N + 1)^2]^{k/2}.$$

Now the conclusion for $h = 1$ is an immediate consequence of Theorem 2.1.

When $0 < h < 1$, which is necessarily the case when $\sigma > \pi$, we consider $\tilde{f}(z) := f(hz)$. Then \tilde{f} is of exponential type $h\sigma$, which is less than π . Furthermore,

$$|\tilde{f}(\xi)| \leq M [1 + (h\xi)^2]^{k/2} \leq M (1 + \xi^2)^{k/2} \quad (\xi \in \mathbb{R}). \tag{25}$$

This shows that the hypotheses of the corollary hold for \tilde{f} . Now, the first part of the proof gives an error bound for \tilde{f} from which the desired result for f is deduced by replacing z by z/h . \square

We note that f satisfies the hypotheses of Corollary 2.3 with $k = 0$ if and only if $f \in \mathcal{B}_\sigma^\infty$ and then M can be taken as $\|f\|_\infty$. In this case, the additional restriction that $h \leq 1$, which was needed in (25) only, can be dropped.

Corollary 2.4 *Let $f \in \mathcal{B}_\sigma^\infty$, where $\sigma > 0$. Then, in the notation of Theorem 2.1, we have*

$$|f(z) - \mathcal{C}_{h,N}[f](z)| \leq |\sin(h^{-1}\pi z)| \frac{2e^{-\alpha N}}{\sqrt{\pi\alpha N}} \|f\|_\infty \cdot \beta_N(h^{-1}\Im z). \quad (26)$$

Remark If in addition to the hypotheses of Corollary 2.4 we have $f \in L^2(\mathbb{R})$, then $\|f\|_\infty \leq \sqrt{\sigma/\pi}\|f\|_2$; see [9, p. 319]. This allows us to compare (9) with (26) for $\Im z = 0$.

In view of Corollary 2.4, we may ask for the best piecewise approximation of a function $f \in \mathcal{B}_\sigma^p$ by a linear combination of $2N + 1$ successive samples $f(hn)$. Clearly, by scaling f appropriately, we can restrict ourselves to the case where $h = 1$. Furthermore, as far as piecewise approximation on the *real line* is concerned, it is enough to consider the interval $[-1/2, 1/2)$ since $f \in \mathcal{B}_\sigma^p$ implies that the translates $f(\cdot + k)$ also belong to \mathcal{B}_σ^p . Hence, a normalized form of the problem may be stated as follows.

Problem Let $p \in [1, +\infty]$ and $\sigma \in (0, \pi)$ be given. Let Λ be the collection of all sequences

$$\lambda := \{\lambda_{n,N} : n = 0, \pm 1, \dots, \pm N, N \in \mathbb{N}\}$$

of functions

$$\lambda_{n,N} : \left[-\frac{1}{2}, \frac{1}{2}\right) \rightarrow \mathbb{C}.$$

For $f \in \mathcal{B}_\sigma^p$, define

$$E_N(f, \lambda, p, \sigma) := \sup_{-1/2 \leq x < 1/2} \left| f(x) - \sum_{n=-N}^N f(n)\lambda_{n,N}(x) \right|,$$

$$E(f, \lambda, p, \sigma) := \limsup_{N \rightarrow \infty} \frac{\ln E_N(f, \lambda, p, \sigma)}{N},$$

$$E(\lambda, p, \sigma) := \sup \{E(f, \lambda, p, \sigma) : f \in \mathcal{B}_\sigma^p\},$$

and determine

$$E(p, \sigma) := \inf \{E(\lambda, p, \sigma) : \lambda \in \Lambda\}.$$

From Corollary 2.4, it follows that

$$-\infty \leq E(p, \sigma) \leq -\frac{\pi - \sigma}{2}$$

for any $p \in [1, +\infty]$. As a next step, it may be interesting to know whether

$$-\infty < E(p, \sigma) < -\frac{\pi - \sigma}{2}.$$

3 Functions Analytic in a Strip

For $d > 0$, we introduce the strip

$$\mathcal{S}_d := \{z \in \mathbb{C} : |\Im z| < d\}.$$

First we recall a result for the classical sampling series. It is a version of [13, Theorem 3.1.7 for $s = \infty$].

Theorem C *Let f be analytic and bounded on the strip \mathcal{S}_d , and suppose that*

$$|f(x)| \leq ce^{-\lambda|x|} \quad (x \in \mathbb{R}) \quad (27)$$

for some positive numbers c and λ . For $N \in \mathbb{N}$,

$$h := \left(\frac{\pi d}{\lambda N}\right)^{1/2},$$

and $z \in \mathcal{S}_d$, define

$$C_N[f](z) := \sum_{n=-N}^N f(hn) \operatorname{sinc}(h^{-1}z - n).$$

Then there exists a positive number c_1 , depending only on f , d , λ , and $y := \Im z$, such that

$$|f(z) - C_N[f](z)| \leq c_1 \sqrt{N} \exp\left(- (d - |y|) \sqrt{\frac{\pi \lambda N}{d}}\right).$$

A result in the spirit of Theorem 2.1 is as follows.

Theorem 3.1 *Let f be analytic in \mathcal{S}_d such that*

$$|f(\xi + i\eta)| \leq \phi(|\xi|) \quad (\xi, \eta \in \mathbb{R}, |\eta| < d),$$

where ϕ is a continuous, non-decreasing, non-negative function on $[0, \infty)$. For $N \in \mathbb{N}$, $h := d/N$, $z \in \mathcal{S}_{d/4}$, and $N_z := \lfloor \Re z + \frac{1}{2} \rfloor$, define

$$\mathcal{C}_N[f](z) := \sum_{n=N_z/h - N}^{N_z/h + N} f(hn) \operatorname{sinc}\left(\frac{z}{h} - n\right) \exp\left(-\frac{\pi}{2N} \left(\frac{z}{h} - n\right)^2\right). \quad (28)$$

Then

$$\begin{aligned} |f(z) - \mathcal{C}_N[f](z)| &\leq |\sin(h^{-1}\pi z)| \frac{2\sqrt{2}}{\pi\sqrt{N}} e^{-\pi N(1-2q)/2} \\ &\quad \times \phi(|\Re z| + d + h) \cdot \gamma_N(q), \end{aligned} \quad (29)$$

where $q := |\Im z|/d$ and

$$\begin{aligned} \gamma_N(q) &:= \frac{1}{1-q} \left[\frac{1}{1-e^{-2\pi N}} + \frac{2\sqrt{2}}{\pi\sqrt{N}(1+q)} \right] \\ &= \frac{1}{1-q} \left[1 + O(N^{-1/2}) \right] \quad (N \rightarrow \infty). \end{aligned}$$

Proof It is enough to prove the error estimate for functions which are analytic in the *closure* of \mathcal{S}_d . In fact, if this has been done and we have a function that satisfies nothing more than the hypotheses of Theorem 3.1, then (29) will hold with d replaced by $d-\varepsilon$ for sufficiently small positive ε . But in this result the two sides of the error estimate depend continuously on ε . Hence, the limit $\varepsilon \rightarrow 0+$ amounts to replacing ε by zero.

It is also enough to prove the theorem for an arbitrary but fixed $N \in \mathbb{N}$. For doing this, we may assume that $d = N$, and so $h = 1$, by scaling the argument of f appropriately. As explained in the proof of Theorem 2.1, we may also assume that $y := \Im z \geq 0$.

After these specializations we set $N' := N + \frac{1}{2}$, $\omega := \pi/(2N)$, and denote by \mathcal{R} the positively oriented rectangle with vertices at $\pm N' + N_z - i(N-y)$ and $\pm N' + N_z + iN$. Using again the kernel (13), we have by the residue theorem

$$\begin{aligned} E &:= f(z) - \mathcal{C}_N[f](z) = \int_{\mathcal{R}} K(z, \zeta) d\zeta \\ &= \frac{\sin \pi z}{2\pi i} (I_{\text{hor}}^- + I_{\text{vert}}^+ + I_{\text{hor}}^+ + I_{\text{vert}}^-), \end{aligned} \tag{30}$$

where

$$\begin{aligned} I_{\text{hor}}^+ &= - \int_{-N'+N_z}^{N'+N_z} \frac{f(t+iN) G(\sqrt{\omega}(z-t-iN))}{(t-z+iN) \sin \pi(t-iN)} dt, \\ I_{\text{hor}}^- &= \int_{-N'+N_z}^{N'+N_z} \frac{f(t-i(N-y)) G(\sqrt{\omega}(x-t+iN))}{(t-x-iN) \sin \pi(t-i(N-y))} dt, \end{aligned}$$

and

$$I_{\text{vert}}^{\pm} = \pm i \int_{-N+y}^N \frac{f(\pm N' + N_z + it) G(\sqrt{\omega}(z \mp N' - N_z - it))}{(\pm N' + N_z + it - z) \sin \pi(\pm N' + N_z + it)} dt.$$

Note that for every point $\zeta \in \mathcal{R}$ we have

$$|f(\zeta)| \leq \phi(N' + |N_z|) \leq \phi(|x| + N + 1).$$

Employing the inequalities (15)–(18), we easily find:

$$|I_{\text{hor}}^+| \leq \frac{2\phi(|x| + N + 1)}{N - y} \cdot \frac{e^{\omega(N-y)^2 - \pi N}}{1 - e^{-2\pi N}} \sqrt{\frac{\pi}{\omega}}, \quad (31)$$

$$|I_{\text{hor}}^-| \leq \frac{2\phi(|x| + N + 1)}{N} \cdot \frac{e^{\omega N^2 - \pi(N-y)}}{1 - e^{-2\pi(N-y)}} \sqrt{\frac{\pi}{\omega}}, \quad (32)$$

$$|I_{\text{vert}}^\pm| \leq \frac{2\phi(|x| + N + 1)}{N} \cdot e^{-\omega N^2} \int_{-N+y}^N e^{\omega(y-t)^2 - \pi|t|} dt. \quad (33)$$

Clearly,

$$\omega(N - y)^2 - \pi N \leq \omega N^2 - \pi(N - y) = -\frac{\pi}{2}(N - 2y).$$

It can also be verified that

$$(N - y)(1 - e^{-2\pi N}) \leq N(1 - e^{-2\pi(N-y)}).$$

Hence, (31) and (32) can be simplified to

$$|I_{\text{hor}}^\pm| \leq \frac{2\phi(|x| + N + 1)}{N - y} \cdot \frac{e^{-\pi(N-2y)/2}}{1 - e^{-2\pi N}} \sqrt{\frac{\pi}{\omega}}. \quad (34)$$

In order to estimate the integral in (33), we replace the exponent of e by the following piecewise linear majorant:

$$\omega(y - t)^2 - \pi|t| \leq \begin{cases} \omega y^2 + t(\pi/2 - \omega y) & \text{if } t \in [-N + y, 0], \\ \omega y^2 - t(\pi/2 + 2\omega y) & \text{if } t \in [0, N]. \end{cases}$$

This leads us to

$$\begin{aligned} |I_{\text{vert}}^\pm| &< \frac{2\phi(|x| + N + 1)}{N} e^{-\omega(N^2 - y^2)} \left[\frac{1}{\pi/2 - \omega y} + \frac{1}{\pi/2 + 2\omega y} \right] \\ &\leq \frac{2\phi(|x| + N + 1)}{N} e^{-\pi(N-2y)/2} \left[\frac{1}{\pi/2 - \omega y} + \frac{1}{\pi/2 + \omega y} \right] \\ &= \frac{8\phi(|x| + N + 1)}{\pi N} e^{-\pi(N-2y)/2} \frac{1}{1 - (y/N)^2}. \end{aligned} \quad (35)$$

The proof is completed by combining (30), (34), and (35). \square

Let us add a few comments on the previous results. In the method of contour integration along a rectangle, the contributions coming from the vertical

line segments determine the truncation error while those coming from the horizontal line segments determine the aliasing error. Note that in Theorem B and in Theorem 2.1 the numbers N and h can be chosen independently while in Theorem C and in Theorem 3.1 they are correlated. The correlation is necessary in order to balance the two kinds of error.

We observe that the accuracy of the operator $\mathcal{C}_N[f]$ in (28) compares with that of $\mathcal{C}_M[f]$ in Theorem C if λ in (27) is not smaller than $\pi/(4d)$ and M is about N^2 . Moreover, in Theorem 3.1 no decay of $|f(x)|$ as $x \rightarrow \pm\infty$ is required. On the other hand, Theorem C has the advantage that its error bound converges to zero as $N \rightarrow \infty$ for each $z \in \mathcal{S}_d$ while in Theorem 3.1 this is only the case when $z \in \mathcal{S}_{d/4}$. Since for $z = x + iy$ with $x, y \in \mathbb{R}$ we have

$$\sinh\left(\pi N \frac{|y|}{d}\right) \leq \left|\sin\left(\pi \frac{z}{h}\right)\right| \leq \cosh\left(\pi N \frac{y}{d}\right),$$

the decisive factor in the error bound (29) becomes

$$\exp\left(-\frac{\pi N}{2}\left(1 - \frac{4|y|}{d}\right)\right),$$

which guarantees convergence to zero for $|y| < d/4$ only. This restriction is genuine. It comes from the fact that $|G(x + iy)|$ increases rapidly as $|y|$ increases.

In signal processing, a function $f \in L^2(\mathbb{R})$ is also called a *signal of finite energy*. It has a Fourier transform

$$\widehat{f}(u) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x) e^{-iux} dx,$$

where the integral must be defined as a limit in the L^2 norm; see [5, Definition 2.12]. As a consequence of Theorem 3.1, we obtain a result for signals which are not necessarily bandlimited, that is, the support of their Fourier transform need not be bounded.

Corollary 3.2 *Let f be a continuous signal of finite energy such that*

$$\left|\widehat{f}(u)\right| \leq M e^{-\kappa|u|} \quad (u \in \mathbb{R}) \quad (36)$$

for some positive numbers M and κ . For $N \in \mathbb{N}$ and $d \in (0, \kappa)$, set $h := d/N$. Then, for $x \in \mathbb{R}$, we have

$$\begin{aligned} |f(x) - \mathcal{C}_N[f](x)| &\leq \left|\sin(h^{-1}\pi x)\right| \frac{4M}{\pi^{3/2}(\kappa - d)} \cdot \frac{e^{-\pi N/2}}{\sqrt{N}} \gamma_N(0) \\ &\leq \frac{1.37 M}{\kappa - d} \cdot \frac{e^{-\pi N/2}}{\sqrt{N}}. \end{aligned}$$

Proof For $z = x + iy$, where $x, y \in \mathbb{R}$ and $|y| \leq d$, we consider the function g defined by

$$g(z) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \widehat{f}(u) e^{izu} \, du. \quad (37)$$

Using (36), we find that

$$\begin{aligned} |g(z)| &\leq \frac{M}{\sqrt{2\pi}} \int_{-\infty}^{\infty} e^{-\kappa|u| - yu} \, du \\ &\leq \frac{2M}{\sqrt{2\pi}} \int_0^{\infty} e^{-u(\kappa - |y|)} \, du \\ &\leq \sqrt{\frac{2}{\pi}} \cdot \frac{M}{\kappa - d}. \end{aligned}$$

From this we conclude that g is analytic in the strip \mathcal{S}_d and satisfies the hypotheses of Theorem 3.1 with

$$\phi(\xi) \equiv \sqrt{\frac{2}{\pi}} \cdot \frac{M}{\kappa - d}.$$

Furthermore, $f(x) = g(x)$ for $x \in \mathbb{R}$ as a consequence of the Fourier inversion theorem and the continuity of f . Now, applying Theorem 3.1 to g and substituting $z = x \in \mathbb{R}$, we obtain the desired result. \square

Under the hypotheses of Corollary 3.2, an error bound for the approximation by the truncated classical sampling series can be deduced from a result by Butzer and Stens [3, Theorem 1].

4 Examples

We restrict ourselves to examples which are not accessible by the results in [8]–[12].

Example 1 Let $f(z) = \cos z$. In this case Corollary 2.4 applies with $\sigma = 1$ and $\|f\|_{\infty} = 1$. Since $\mathcal{C}_{h,N}[f](x)$ duplicates $f(x)$ at the points jh for $j \in \mathbb{Z}$, it seems interesting to consider the absolute errors at the intermediate points $x_{h,j} := (j - \frac{1}{2})h$. Numerical results are given in Tables 1 to 3. As predicted by the theory, the number of correct digits roughly doubles when N doubles. Furthermore, without any additional cost, the precision increases when N is fixed but h decreases. However, decreasing h means that the samples are taken from a denser set. Note that in Tables 1 to 3 corresponding lines contain results for comparable points $x_{h,j}$. For example, $x_{1,11} = 10.5$ and $x_{1/4,41} = 10.125$. The

$h=1$	abs. errors for $N=5$		abs. errors for $N=10$		abs. errors for $N=20$	
j	true value	bound	true value	bound	true value	bound
1	9.21E-04	3.43E-03	3.04E-06	1.04E-05	5.38E-11	1.52E-10
3	7.76E-04	3.43E-03	2.98E-06	1.04E-05	4.64E-11	1.52E-10
5	2.75E-04	3.43E-03	5.59E-07	1.04E-05	1.52E-11	1.52E-10
7	1.01E-03	3.43E-03	3.45E-06	1.04E-05	5.90E-11	1.52E-10
9	5.61E-04	3.43E-03	2.31E-06	1.04E-05	3.40E-11	1.52E-10
11	5.38E-04	3.43E-03	1.52E-06	1.04E-05	3.08E-11	1.52E-10

Table 1: Approximation of \cos at $x_{h,j}$ for $h=1$.

$h=\frac{1}{2}$	abs. errors for $N=5$		abs. errors for $N=10$		abs. errors for $N=20$	
j	true value	bound	true value	bound	true value	bound
1	2.85E-04	8.56E-04	2.70E-07	7.47E-07	3.41E-13	9.03E-13
5	1.92E-04	8.56E-04	1.86E-07	7.47E-07	2.31E-13	9.03E-13
9	1.25E-04	8.56E-04	1.15E-07	7.47E-07	1.49E-13	9.03E-13
13	2.96E-04	8.56E-04	2.82E-07	7.47E-07	3.55E-13	9.03E-13
17	1.21E-04	8.56E-04	1.20E-07	7.47E-07	1.46E-13	9.03E-13
21	1.95E-04	8.56E-04	1.82E-07	7.47E-07	2.33E-13	9.03E-13

Table 2: Approximation of \cos at $x_{h,j}$ for $h=\frac{1}{2}$.

$h=\frac{1}{4}$	abs. errors for $N=5$		abs. errors for $N=10$		abs. errors for $N=20$	
j	true value	bound	true value	bound	true value	bound
1	1.47E-04	4.32E-04	7.26E-08	2.02E-07	2.86E-14	7.02E-14
9	8.65E-05	4.32E-04	3.69E-08	2.02E-07	1.60E-14	7.02E-14
17	7.55E-05	4.32E-04	4.19E-08	2.02E-07	1.53E-14	7.02E-14
25	1.49E-04	4.32E-04	7.18E-08	2.02E-07	2.87E-14	7.02E-14
33	4.88E-05	4.32E-04	1.78E-08	2.02E-07	8.61E-15	7.02E-14
41	1.09E-04	4.32E-04	5.70E-08	2.02E-07	2.15E-14	7.02E-14

Table 3: Approximation of \cos at $x_{h,j}$ for $h=\frac{1}{4}$.

error bounds, which do not depend on $x_{h,j}$, are quite realistic, that is, they do not overestimate the true error very much.

Figures 1–3 show the graphs of the error on the interval $[0, 10]$ for $N=10$ and h equal 1, $1/2$, and $1/4$, respectively.

Example 2 Let $f(z) = \cosh z$. In this case Corollary 2.2 applies with $\kappa=1$, $\sigma=0$, and $M=1$. Since $\cosh z = \cos(iz)$, we have essentially the same function as in Example 1, except that now the samples are taken on a line of maximal growth. This time, we restricted ourselves to $h=1/4$ and computed the errors at points $x_{1/4,j} = (2j-1)/8$ spreading over an interval of length 10,

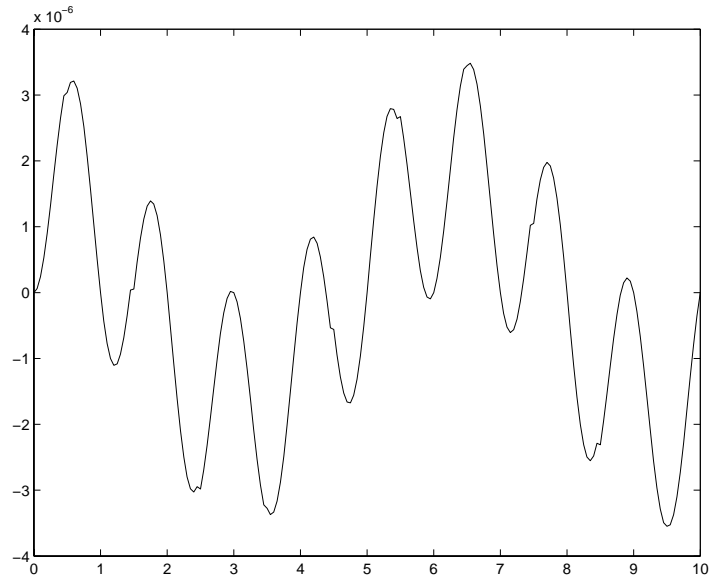


Figure 1: Graph of $\cos -\mathcal{C}_{h,N}[\cos]$ for $h = 1$, $N = 10$.

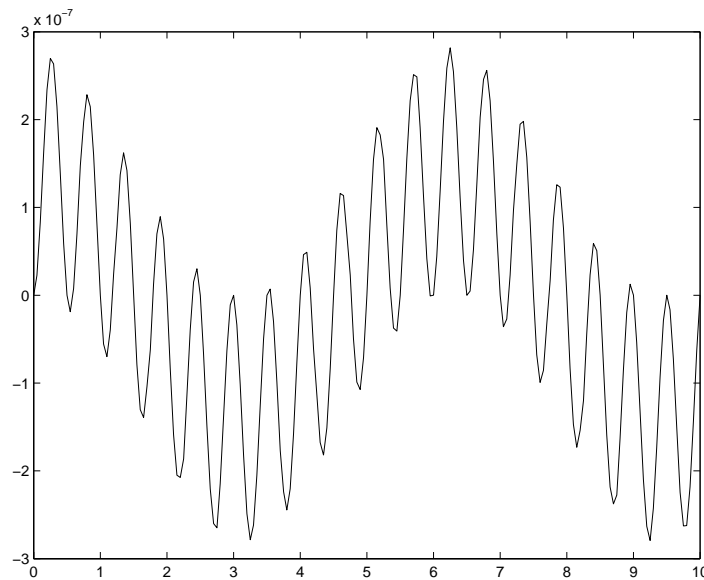


Figure 2: Graph of $\cos -\mathcal{C}_{h,N}[\cos]$ for $h = 1/2$, $N = 10$.

the largest point being $x_{1/4,41} = 10.125$. Numerical results are given in Tables 4 to 6. The absolute errors increase with j , but the relative errors are nearly constant for fixed N , and they have about the same size as the absolute errors in the previous example or are even a little smaller. Unfortunately, the error

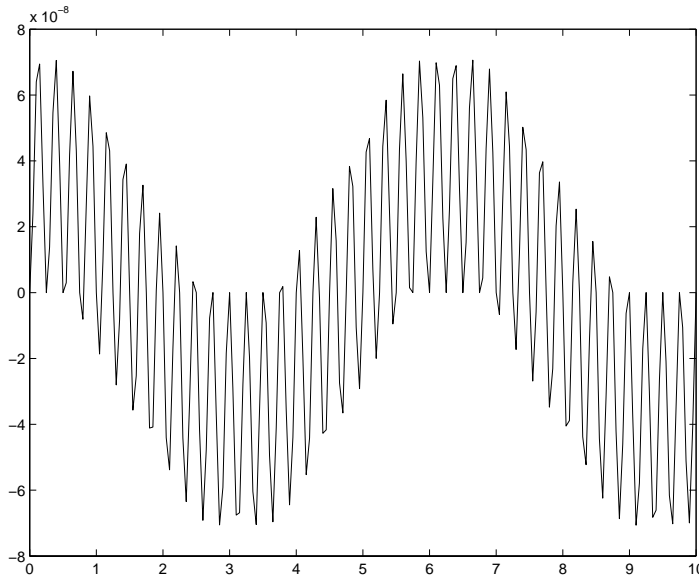


Figure 3: Graph of $\cos -\mathcal{C}_{h,N}[\cos]$ for $h = 1/4$, $N = 10$.

bounds overestimate the true errors quite a bit. Perhaps the estimates in the proof of Theorem 2.1 should be refined in the case where ϕ is not a constant.

Figures 4 and 5 show the graphs of the error $\cosh -\mathcal{C}_{h,N}[\cosh]$ and the signed relative error $(\cosh -\mathcal{C}_{h,N}[\cosh])/\cosh$ on the interval $[0, 10]$ for $h = 1/4$ and $N = 5$. The calculations are quite sensitive to round-off errors. This phenomenon is a price we must pay for approximating a function from exponentially increasing samples. It comes from the fact that such a small quantity like the true error is calculated via large numbers, such as $\cosh 10$. For the tables a higher precision has been used than for the graphs. This may explain why the values in the fourth column of Table 4 differ from the corresponding values in Fig. 5 by about 15%.

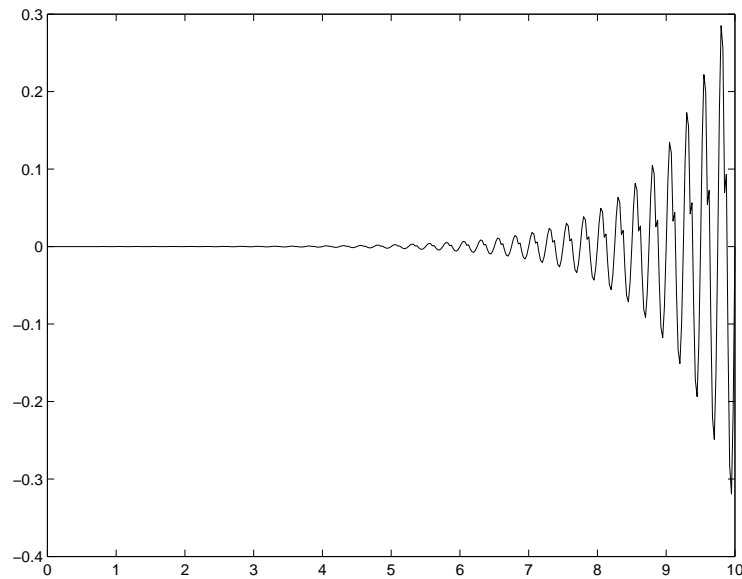
$h = \frac{1}{4}$	abs. errors for $N = 5$		rel. errors for $N = 5$		
	j	true value	bound	true value	bound
	1	3.08E-05	1.11E-03	3.06E-05	1.10E-03
	9	1.59E-04	8.23E-03	3.73E-05	1.94E-03
	17	1.16E-03	6.08E-02	3.76E-05	1.96E-03
	25	8.59E-03	4.49E-01	3.76E-05	1.96E-03
	33	6.35E-02	3.32E 00	3.76E-05	1.97E-03
	41	4.69E-01	2.45E 01	3.76E-05	1.97E-03

Table 4: Approximation of \cosh at $x_{h,j}$ with $N = 5$.

$h = \frac{1}{4}$	abs. errors for $N = 10$		rel. errors for $N = 10$	
j	true value	bound	true value	bound
1	3.39E-08	9.77E-07	3.36E-08	9.69E-07
9	1.65E-07	7.22E-06	3.89E-08	1.70E-06
17	1.21E-06	5.33E-05	3.91E-08	1.72E-06
25	8.94E-06	3.94E-04	3.91E-08	1.72E-06
33	6.60E-05	2.91E-03	3.91E-08	1.72E-06
41	4.88E-04	2.15E-02	3.91E-08	1.72E-06

Table 5: Approximation of cosh at $x_{h,j}$ with $N = 10$.

$h = \frac{1}{4}$	abs. errors for $N = 20$		rel. errors for $N = 20$	
j	true value	bound	true value	bound
1	6.77E-15	1.19E-12	6.72E-15	1.18E-12
9	6.79E-15	8.76E-12	1.60E-15	2.06E-12
17	4.43E-14	6.48E-11	1.43E-15	2.09E-12
25	3.26E-13	4.78E-10	1.43E-15	2.09E-12
33	2.41E-12	3.54E-09	1.43E-15	2.09E-12
41	1.78E-11	2.61E-08	1.43E-15	2.09E-12

Table 6: Approximation of cosh at $x_{h,j}$ with $N = 20$.Figure 4: Graph of $\cosh - \mathcal{C}_{h,N}[\cosh]$ for $h = 1/4$, $N = 5$.

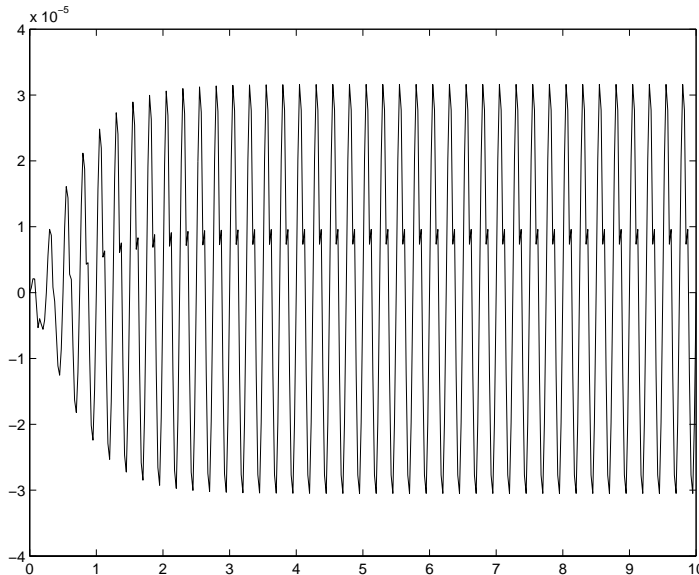


Figure 5: Graph of $(\cosh - \mathcal{C}_{h,N}[\cosh])/\cosh$ for $h = 1/4$, $N = 5$.

Example 3 Let $f(z) = (1 + z^2)^{1/2}$, taking that branch of the root which is positive on the real line. In this case Theorem 3.1 applies with $d = 1$ and

$$\phi(x) = \begin{cases} (1 + x^2)^{1/2} & \text{for } x^2 \leq \frac{1}{2}, \\ (1 + 4x^2 + x^4)^{1/4} & \text{for } x^2 \geq \frac{1}{2}. \end{cases}$$

First we computed the absolute errors and the error bounds of Theorem 3.1 for an approximation by $\mathcal{C}_N[f]$ at points $t_{N,j} := (2j-1)/(2N)$. The results are shown in Table 7. The table has been arranged in such a way that all results located in one line belong to comparable points $t_{N,j}$. It is seen that the errors grow very slowly for fixed N and increasing j . The error bounds are very realistic. Graphs of the error on the interval $[0, 5]$ for $N = 5$ are shown in Figures 6 and 7.

In a second test, we considered the quality of approximation at the non-real points iy ; see Table 8. As predicted by the theory, we have exponential convergence when $|y| < 1/4$ while the errors increase with an exponential rate when $|y| > 1/4$.

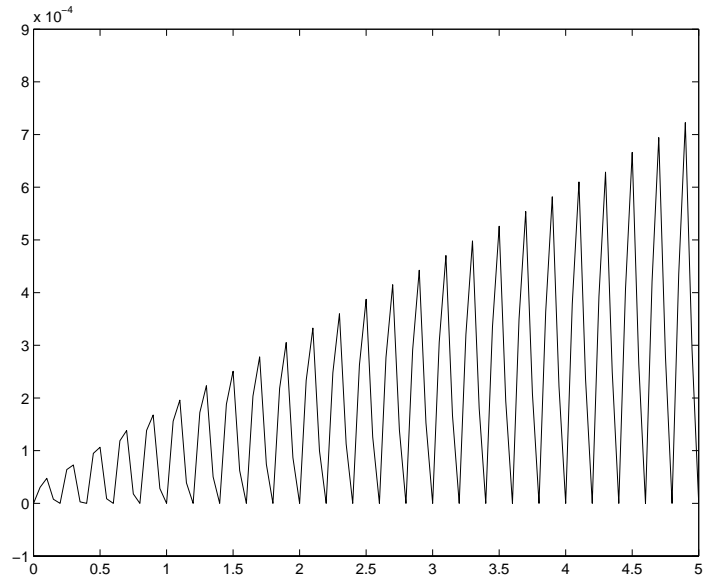


Figure 6: Graph of the error for Example 3 for $N = 5$.

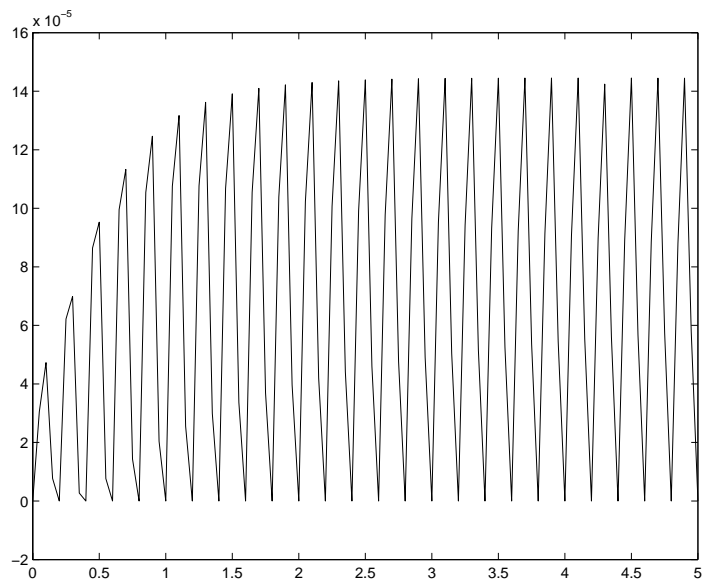


Figure 7: Graph of the relative error for Example 3 for $N = 5$.

Absolute errors for $N = 5$			Absolute errors for $N = 15$			Absolute errors for $N = 25$		
j	true value	bound	j	true value	bound	j	true value	bound
5	1.68E-04	5.45E-04	15	1.63E-11	4.07E-11	25	1.94E-18	4.52E-18
10	3.05E-04	7.43E-04	30	2.86E-11	5.58E-11	50	3.39E-18	6.21E-18
15	4.43E-04	9.45E-04	45	4.10E-11	7.15E-11	75	4.86E-18	7.97E-18
20	5.82E-04	1.16E-03	60	5.37E-11	8.75E-11	100	6.36E-18	9.76E-18
25	7.23E-04	1.14E-03	75	6.66E-11	1.04E-10	125	7.88E-18	1.16E-17

Table 7: Approximation of $(1 + z^2)^{1/2}$ at $t_{N,j} := \frac{2j-1}{2N}$.

Absolute errors for $N = 5$			Absolute errors for $N = 15$			Absolute errors for $N = 25$		
y	true value	bound	y	true value	bound	y	true value	bound
0.05	5.11E-05	7.48E-04	0.05	1.40E-10	1.56E-09	0.05	3.72E-16	4.02E-15
0.10	3.90E-04	4.53E-03	0.10	1.95E-08	1.83E-07	0.10	1.21E-12	1.09E-11
0.15	2.31E-03	2.36E-02	0.15	2.52E-06	2.15E-05	0.15	3.63E-09	2.95E-08
0.20	1.24E-02	1.20E-01	0.20	3.14E-04	2.52E-03	0.20	1.05E-05	8.02E-05
0.25	6.48E-02	6.12E-01	0.25	3.82E-02	2.97E-01	0.25	2.97E-02	2.19E-01
0.30	3.34E-01	3.12E 00	0.30	4.57E 00	3.52E 01	0.30	8.23E 01	6.02E 02
0.35	1.70E 00	1.61E 01	0.35	5.40E 02	4.20E 03	0.35	2.25E 05	1.66E 06

Table 8: Approximation of $(1 + z^2)^{1/2}$ at non-real points iy .

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